

Dkt. 06096

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

PCT

MOHAMED ALI BEN LAKHDHAR et al

Serial No.: 10/597,371

Filed: July 21, 2006

For: METAL FIN FOR AIR HEAT EXCHANGER

REQUEST FOR CORRECTION OF FILING RECEIPT, NOTICE
OF ACCEPTANCE OF APPLICATION AND NOTICE OF NEW OR
REVISED PROJECTED PUBLICATION DATE

Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

The Filing Receipt, the Notice of Acceptance of Application and the Notice of New or Revised Projected Publication Date of Application state that the Applicant is Eric Maziers of Senèffe, Belgium.

The correct names for the applicants for the above-identified patent application are **Mohamed Ali Ben Lakhdhar, Alain Compingt, and Ira Zelman Richter**, as can be seen from the Application Data Sheet and the declaration of the inventors for the application, both attached hereto.

A copy of the Official Filing Receipt is enclosed.

Appropriate correction is requested.

Respectfully submitted,



Ira J. Schultz
Registration No. 28666

Docket 06096

DECLARATION (37 CFR 1.63) FOR A UTILITY OR DESIGN
APPLICATION USING AN APPLICATION DATA SHEET

Title of Invention	METAL FIN FOR AIR HEAT EXCHANGER		
As below named inventor(s), I/we declare that:			
This declaration is directed to:			
<input type="checkbox"/> The attached application; or			
<input checked="" type="checkbox"/> Application No. <u>PCT/FR2005/000254</u> , filed on <u>Feb. 4, 2005 (USSN 10/597,371</u> <u>filed July 21, 2006)</u> ,			
<input checked="" type="checkbox"/> as amended on <u>July 21, 2006</u> (if applicable);			
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;			
I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;			
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including, for continuation-in- part applications, material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application; and			
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further, that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.			
FULL NAME OF INVENTOR(S)			
Inventor one: <u>Mohamed Ali BEN LAKHDHAR</u>		Citizen of: <u>TN</u>	
Signature: <u>Mohamed Ali BEN LAKHDHAR</u>		Date: <u>22/09/2006</u>	
Inventor two: <u>Alain COMPINGT</u>		Citizen of: <u>FR</u>	
Signature: <u>Alain Compingt</u>		Date: <u>22/09/2006</u>	
Inventor three: <u>Ira Zelman RICHTER</u>		Citizen of: <u>US</u>	
Signature: <u>Ira Zelman Richter</u>		Date: <u>9/28/06</u>	
<input type="checkbox"/> Additional inventor(s) is/are being named on additional form(s) attached hereto.			

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	06096
		Application Number	
Title of Invention	METAL FIN FOR AIR HEAT EXCHANGER		
<p>The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.</p> <p>This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.</p>			

Secrecy Order 37 CFR 5.2

<input type="checkbox"/>	Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)
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Applicant Information:

Applicant 1				
Applicant Authority <input checked="" type="radio"/> Inventor		<input type="radio"/> Legal Representative under 35 U.S.C. 117		<input type="radio"/> Party of Interest under 35 U.S.C. 118
Prefix	Given Name	Middle Name	Family Name	Suffix
	Mohamed	Ali	BEN LAKHDHAR	
Residence Information (Select One) <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service				
City	Bourgoin-Jallieu	Country Of Residence ⁱ	FR	
Citizenship under 37 CFR 1.41(b) ⁱ		TN		
Mailing Address of Applicant:				
Address 1		1, Rue de la Distillerie		
Address 2				
City	Bourgoin-Jallieu	State/Province		
Postal Code	38300	Country ⁱ	FR	
Applicant 2				
Applicant Authority <input checked="" type="radio"/> Inventor		<input type="radio"/> Legal Representative under 35 U.S.C. 117		<input type="radio"/> Party of Interest under 35 U.S.C. 118
Prefix	Given Name	Middle Name	Family Name	Suffix
	Alain		COMPINGT	
Residence Information (Select One) <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service				
City	Grezieu la Varenne	Country Of Residence ⁱ	FR	
Citizenship under 37 CFR 1.41(b) ⁱ		FR		
Mailing Address of Applicant:				
Address 1		12, Chemin de Pirot		
Address 2				
City	Grezieu la Varenne	State/Province		
Postal Code	69290	Country ⁱ	FR	
Applicant 3				
Applicant Authority <input checked="" type="radio"/> Inventor		<input type="radio"/> Legal Representative under 35 U.S.C. 117		<input type="radio"/> Party of Interest under 35 U.S.C. 118
Prefix	Given Name	Middle Name	Family Name	Suffix
	Ira	Zelman	RICHTER	
Residence Information (Select One) <input checked="" type="radio"/> US Residency <input type="radio"/> Non US Residency <input type="radio"/> Active US Military Service				
City	Lilburn	State/Province	GA	Country of Residence ⁱ US

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	06096
		Application Number	
Title of Invention	METAL FIN FOR AIR HEAT EXCHANGER		

Citizenship under 37 CFR 1.41(b) i	US		
Mailing Address of Applicant:			
Address 1	4931 Huntshire Lane		
Address 2			
City	Lilburn	State/Province	GA
Postal Code	30047	Country i	US
All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button.			<input type="button" value="Add"/>

Correspondence Information:

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).			
<input type="checkbox"/> An Address is being provided for the correspondence Information of this application.			
Customer Number	23338		
Email Address		<input type="button" value="Add Email"/>	<input type="button" value="Remove Email"/>

Application Information:

Title of the Invention	METAL FIN FOR AIR HEAT EXCHANGER		
Attorney Docket Number	06096	Small Entity Status Claimed <input type="checkbox"/>	
Application Type	Nonprovisional		
Subject Matter	Utility		
Suggested Class (if any)		Sub Class (if any)	
Suggested Technology Center (if any)			
Total Number of Drawing Sheets (if any)	2	Suggested Figure for Publication (if any)	2
Publication Information:			
<input type="checkbox"/> Request Early Publication (Fee required at time of Request 37 CFR 1.219)			
<input type="checkbox"/> Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.			

Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.			
Please Select One:	<input checked="" type="radio"/> Customer Number	<input type="radio"/> US Patent Practitioner	<input type="radio"/> US Representative (37 CFR 11.9)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	06096
		Application Number	
Title of Invention	METAL FIN FOR AIR HEAT EXCHANGER		
Customer Number	23338		

Domestic Priority Information:

This section allows for the applicant to claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c). Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.

Prior Application Status	Pending	Remove	
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
	a 371 of international	PCT/FR2005/000254	2005-02-04

Additional Domestic Priority Data may be generated within this form by selecting the **Add** button.

Foreign Priority Information:

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

Remove			
Application Number	Country ⁱ	Parent Filing Date (YYYY-MM-DD)	Priority Claimed
0401169	FR	2004-02-06	<input checked="" type="radio"/> Yes <input type="radio"/> No

Additional Foreign Priority Data may be generated within this form by selecting the **Add** button.

Assignee Information:

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.

Assignee 1

If the Assignee is an Organization check here. ☒

Organization Name	LGL France		
-------------------	------------	--	--

Mailing Address Information:

Address 1	Zone Industrielle - "Les Meurieres"		
Address 2	Rue des Albatros		
City	Mions	State/Province	
Country ⁱ	FR	Postal Code	69780
Phone Number		Fax Number	
Email Address			

Additional Assignee Data may be generated within this form by selecting the **Add** button.

Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number		06096	
		Application Number			
Title of Invention		METAL FIN FOR AIR HEAT EXCHANGER			
Signature		s/Ira J. Schultz/		Date (YYYY-MM-DD) 2006-07-21	
First Name	Ira	Last Name	Schultz	Registration Number	28666

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
10/597,371	10/04/2006	3753	1160	06096	11	1

CONFIRMATION NO. 9958

FILING RECEIPT



0000000029175676

23338
DENNISON, SCHULTZ & MACDONALD
1727 KING STREET
SUITE 105
ALEXANDRIA, VA 22314

Date Mailed: 04/04/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections**

Applicant(s)

Eric Maziers, Seneffe, BELGIUM;

Assignment For Published Patent Application

LGL FRANCE, Mions, FR

Power of Attorney: The patent practitioners associated with Customer Number 23338

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/FR2005/000254 02/04/2005

Foreign Applications

FRANCE 0401169 02/06/2004

If Required, Foreign Filing License Granted: 03/31/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/597,371**

Projected Publication Date: 07/10/2008

Non-Publication Request: No

Early Publication Request: No

Title

Metal Fin for Air Heat Exchanger

Preliminary Class

165

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
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10/597,371 ✓

FIRST NAMED APPLICANT

06096 ✓

23338

DENNISON, SCHULTZ & MACDONALD

1727 KING STREET

SUITE 105

ALEXANDRIA, VA 22314

INTERNATIONAL APPLICATION NO.

PCT/FR2005/000254

I.A. FILING DATE

PRIORITY DATE

02/04/2005

02/06/2004

RECEIVED
APR 7 2008

CONFIRMATION NO. 9958

371 ACCEPTANCE LETTER



OC000000029175677

BY:

Date Mailed: 04/04/2008

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

10/04/2006DATE OF RECEIPT OF 35 U.S.C. 371(c)(1),
(c)(2) and (c)(4) REQUIREMENTS08/20/2007DATE OF COMPLETION OF ALL
35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 07/21/2006
- Copy of the International Search Report filed on 07/21/2006
- Preliminary Amendments filed on 07/21/2006
- Information Disclosure Statements filed on 07/21/2006
- Oath or Declaration filed on 10/04/2006
- U.S. Basic National Fees filed on 07/21/2006
- Priority Documents filed on 07/21/2006
- Specification filed on 07/21/2006
- Claims filed on 07/21/2006
- Abstracts filed on 07/21/2006
- Drawings filed on 07/21/2006

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

VALERIE D KINARD

Telephone: (703) 308-9140 EXT 182



CD

APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
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10/597,371

10/04/2006

Eric Mazlers

06096

CONFIRMATION NO. 9958

23338

DENNISON, SCHULTZ & MACDONALD
1727 KING STREET
SUITE 105
ALEXANDRIA, VA 22314RECEIVED
APR 11 2008

BY:

Date Mailed: 04/03/2008

NOTICE OF NEW OR REVISED PROJECTED PUBLICATION DATE

The above-identified application has a new or revised projected publication date. The current projected publication date for this application is 07/10/2008. If this is a new projected publication date (there was no previous projected publication date), the application has been cleared by Licensing & Review or a secrecy order has been rescinded and the application is now in the publication queue.

If this is a revised projected publication date (one that is different from a previously communicated projected publication date), the publication date has been revised due to processing delays in the USPTO or the abandonment and subsequent revival of an application. The application is anticipated to be published on a date that is more than six weeks different from the originally-projected publication date.

More detailed publication information is available through the private side of Patent Application Information Retrieval (PAIR) System. The direct link to access PAIR is currently <http://pair.uspto.gov>. Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Questions relating to this Notice should be directed to the Office of Patent Publication at 1-866-786-0101.

PART 1 - ATTORNEY/APPLICANT COPY